UPLOADING DATA INTO CART



ST. LUKE COMMUNITY HEALTHCARE
DENNIS CORBITT,
APPLICATION SPECIALIST
KATRINA STROWBRIDGE, RN
QUALITY IMPROVEMENT



Presentation Provided by:

- Facilitation and coordination for this presentation has been provided by Health Technology Services and funded by the DPHHS and MHA PIN UP project.
- Thank you to Kitty and Dennis for sharing their information and most importantly taking the time to put the information together!



Logistical Items

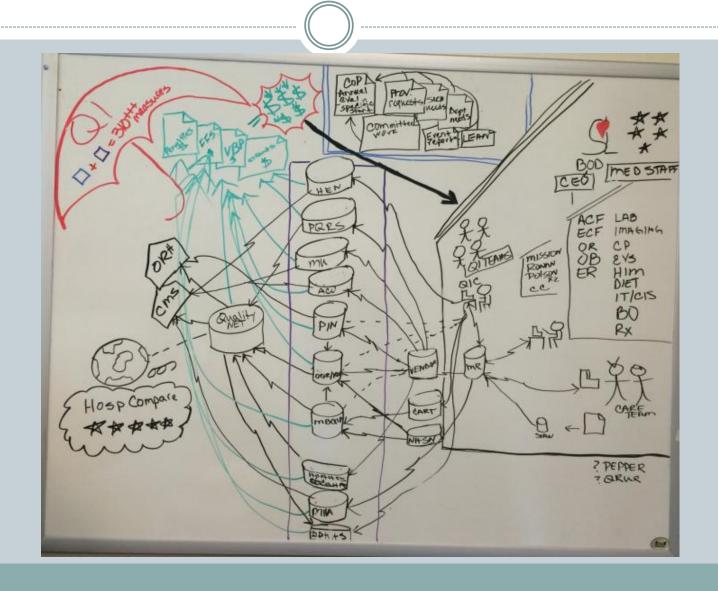
- This presentation will be recorded and posted on the gotohts.com website under archived webinars.
- If able, please put your questions in the chat box. If we do not answer your question during the presentation we will get back to you!
- We will open phone lines for questions at the end also.

Disclaimer statement

✓ THIS PROCESS DESCRIBES A DATA IMPORT PROCESS THAT DECREASES OVERALL "TOUCH POINTS" OF PATIENT LEVEL DATA INTO THE CMS ABSTRACTION AND REPORTING (CART) TOOL **ONLY**.

✓ THE PROCESS DESCRIBED IS NOT INTENDED TO BE USED FOR MEANINGFUL USE

The burden of "more" for CAH's



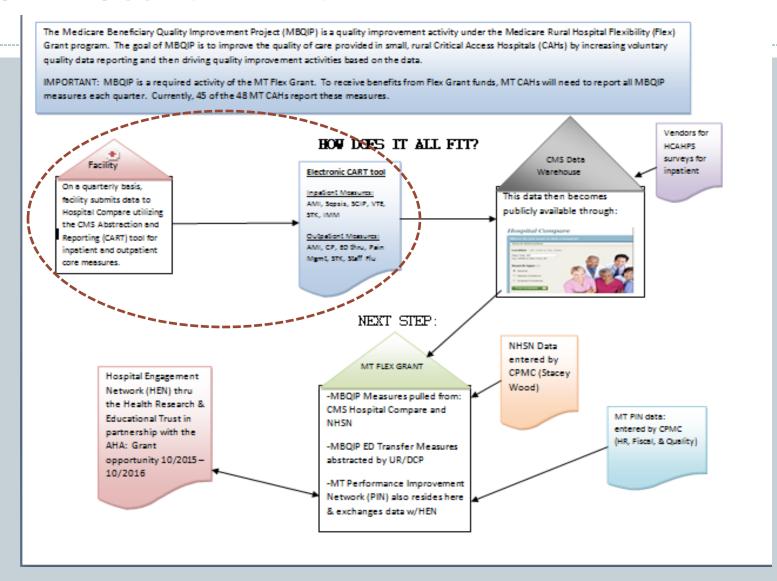
Why the Burden?

- Value Based Purchasing
- Inpatient Quality Reporting
- Outpatient Quality Reporting
- Meaningful Use
- PQRS
- PIN Benchmarking Report
- o HEN
- We, as QI Coordinators, manually touch the key for every letter and every number

representing provided care in our facility into the CMS Abstraction and Reporting tool Why??? Why??? Why???

It tells our story.....

How Does It All Fit?



To survive we must be "Innovative"

- ADJECTIVE ACTION ORIENTED
- MEANS (OF A PRODUCT, IDEA, ETC)
 - featuring new methods; advance and original.
- (OF A PERSON)
 - introducing new ideas; original and creative thinking

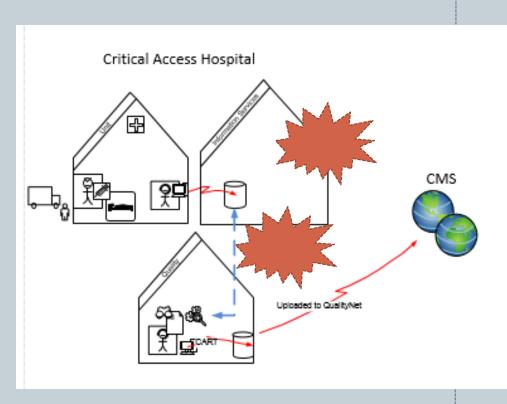
GOOGLE FOUND @ HTTPS://WWW.GOOGLE.COM/?GWS_RD=SSL#Q=INNOVATIVE

Old and New Value

- Old way
 - Manual
 - o Paper
 - Many touches
 - Supported Silo'ing
 - o Time lag/delay/old data
 - Increased burden related to increasing measure requirements:
 - × Number of measures
 - Quality reporting programs

- New way
 - Electronic
 - One touch
 - Increased collaboration
 - Current data
 - Expands capability
 - Allows for time to focus on improvement vs data entry

The "Way it was"



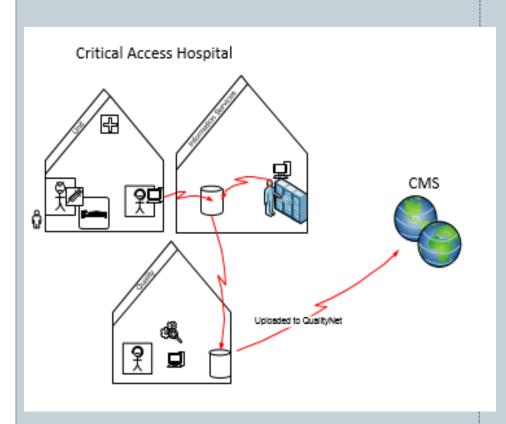
The flow

- Care provided at the bedside within the unit
- Patient care information is entered into the computer and electronic health record
- The abstractor (QIC) manually reviews each chart.
- Manually enters information onto paper form
- Enters information into CART
- Data is exported to system and uploaded to QualityNet

In a Nutshell:

- Run Excel Application
- This app runs a SQL Script that pulls the data from the database. The app then reformats the data into an XML format and writes the created data file(s) to a folder.
- Then open the CART application and import the file(s) from the location where they were just written
- On your system.

Innovation at its best: New process



- Run Excel Application
- This app runs a SQL Script that pulls the data from the database.
- The app then reformats the data into an XML format and writes the created data file(s) to a folder.
- Then open the CART application and import the file(s) from the location where they were just written on your system.
- Open the abstract in CART and edit, if needed.
- Export to CMS

How do you do this?

- Be inquisitive, ask a few questions:
 - o Can you access your data repository?
 - What format & structure does the data need to be in?
 - What program is going to import into CART?
 - Who should I call for help?
 - × What are our external resources?
 - **w** What are our internal resources?

• Won't magic just make this happen?

What data? For what Quality program?

- This upload process is for:
 - Ouality Improvement data for:
 - Inpatient Quality Reporting for VBP
 - Outpatient Quality Reporting for VBP
 - Medicare Beneficiary Quality Improvement Project
 - × AMI
 - × CP
 - × IMM

Step 1:

Programmer

- File specifications
 - × What program?
 - Outpatient or Inpatient?
 - **x** What format?
 - Demographic or clinical data?
- Report build
- Save location
- o Teach QIC how & where to access
- Maintain file specifications for each time period

Outpatient

- QualityNet
 - × Outpatient −
 - Data Collection/Cart
 - Uniform Billing File Layout
 - Discharge period
- Link
 - https://www.qualitynet.org/dcs/ContentServer?c=Page&page name=QnetPublic%2FPage%2FQnetTier3&cid=12054420569
 71

Outpatient: Uniform Billing File Layout



Log in to QualityNet Secure Portal (formerly MyQualityNet)
Log In

Home M	ly Quality N et	Help				
Hospitals - ,	Hospitals - _▼	Physician _*	Ambulatory	PPS-Exempt	ESRD	Inpatient
Inpatient	Outpatient	Offices	Surgical Centers	Cancer Hospitals	Facilities	Psychiatric Facilit

Data Collection (& CART)

CART Downloads & Info

Abstraction Resources

CART Training

Uniform Billing File Layout

Data Collection (& CART) Hospitals - Outpatient

CART, the CMS Abstraction & Reporting Tool, is a powerful application for the collection and analysis of quality improvement data. Through data collection, retrospective analyses and real-time reporting, CART enables hospitals to comprehensively evaluate and manage quality improvement efforts. Whether a hospital is seeking Medicare certification or undertaking its own quality improvement initiatives, CART is ideal for the data collection and analyses that are essential to the success of all quality improvement efforts. The application is available at no charge to hospitals or other organizations seeking to improve the quality of care in the following clinical areas:

- · Acute Myocardial Infarction
- Chest Pain
- · Emergency Department (ED) Throughput
- Pain Management
- Stroke

CART-Outpatient is available for use on a stand-alone, Windows-based computer, in a computer network or in environments without computing resources (paper tools).

Select the appropriate encounter period



Log in to QualityNet Secure Portal (formerly MyQualityNet)
Log In

Home

My QualityNet

Help

Hospitals - Inpatient Hospitals - V

Physician Offices Ambulatory Surgical Centers PPS-Exempt Cancer Hospitals ESRD Facilities Inpatient
Psychiatric Facilities

Qual Imp

Data Collection (& CART)

CART Downloads & Info

Abstraction Resources

CART Training

Uniform Billing File Layout

Uniform Billing File Layout

Administrative and demographic data from a Uniform Billing (UB-04) system can be imported into CART and combined with the clinical data abstracted from medical records, then exported as XML files for submission to the OPPS Warehouse.

File layout specifications for data being imported from a UB-04 system into CART are provided, by encounter dates, below.

Encounter Period	UB File Layout
01/01/16 - 12/31/16	Version 1.14
10/01/15 - 12/31/15	Version 1.13
01/01/15 - 09/30/15	Version 1.12, PDF
01/01/14 - 09/30/14	Version 1.11, PDF
07/01/13 - 12/31/13	Version 1.10, PDF
01/01/13 - 06/30/13	Version 1.9, PDF
07/01/12 - 12/31/12	Version 1.8, PDF
////	

File Layout Tables

2. File Layout Tables

Table 1: Abstraction Elements

Field	Description	Possible Values	Required?
admit-date	The month, day, and year of admission to acute inpatient care in MM-DD-YYYY format	Not applicable	Required
discharge- date	The month, day, and year the patient was discharged from acute care, left against medical advice, or expired during this stay in MM-DD-YYYY format	Not applicable	Required
provider-id	CMS Certification Number	Valid 6-digit CMS Certification Number	Required
first-name	Patient's first name	Up to 30 characters	Required
last-name	Patient's last name	Up to 60 characters	Required
patient-id	Identifier used to identify the patient at the hospital	Up to 40 letters, numbers, and/or characters	Required
		Note: The only characters that will be allowed are spaces, hyphens, dashes, and underscores.	

```
<?xml version='1.0' encoding='UTF-8'?>
<submission action-code='ADD' data='CLINICAL' type='OUTPATIENT' version='1.0'>
<file-audit-data>
 <create-date>04-27-2016</create-date>
 <create-time>13:07</create-time>
 <create-by>StLukeCIS</create-by>
 <version>4.16.0
 <create-by-tool>4.16.0</create-by-tool>
 </file-audit-data>
 <abstraction-audit-data>
 <abstraction-date>04-27-2016</abstraction-date>
 <abstractor-id>kstrowbridge</abstractor-id>
 </abstraction-audit-data>
 cprovider>
 orovider-id>271325/provider-id>
 <patient>
  <first-name>LASTNAME</first-name>
  <last-name>FIRSTNAME
  <birthdate>07-15-1933
  <sex>F</sex>
  <race>7</race>
  <ethnic>N</ethnic>
  <postal-code>59865</postal-code>
  <encounter measure-set='ED-THROUGHPUT'>
   <encounter-date>12-29-2015
   <arrival-time>08:59</arrival-time>
   <patient-id>HS00023057</patient-id>
   <pthic>999999999M</pthic>
   <detail answer-code='1' row-number='0' guestion-cd='PMTSRCE'></detail>
   <detail answer-code='J18.9' row-number='0' guestion-cd='PRINDX'></detail>
   <detail answer-code='99284' row-number='0' question-cd='EMCODE'></detail>
   <detail answer-code='4b' row-number='0' guestion-cd='DISCHGCODE'></detail>
   <detail answer-code='12-29-2015' row-number='0' question-cd='EDDEPARTDT'></detail>
   <detail answer-code='14:05' row-number='0' guestion-cd='EDDEPARTTM'></detail>
   <detail answer-code='12-29-2015' row-number='0' guestion-cd='PROVCONTDT'></detail>
   <detail answer-code='08:59' row-number='0' guestion-cd='PROVCONTTM'></detail>
  </encounter>
 </patient>
 </provider>
</submission>
```

Inpatient

- QualityNet
 - Inpatient
 - ▼ Data Collection (& CART)

Link

https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename= QnetPublic%2FPage%2FQnetTier3&cid=1138900279289

Uniform Billing File Layout



Log in to QualityNet Secure Portal (formerly MyQualityNet)
Log In

Home	My QualityNet	Help				
Hospitals -	Hospitals -	Physician _*	Ambulatory	PPS-Exempt	ESRD Facilities	Inpatient
Inpatient	Outpatient	Offices	Surgical Centers	Cancer Hospitals		Psychiatric Facilit

Data Collection (& CART)

CART Downloads & Info

Abstraction Resources

CART Module Designer

Uniform Billing File Layout

CART Training

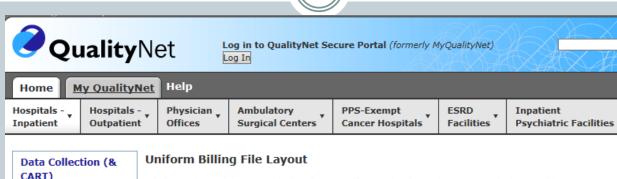
Data Collection (& CART) Hospitals

CART, the CMS Abstraction & Reporting Tool, is a powerful application for the collection and analysis of quality improvement data. Through data collection, retrospective analyses and real-time reporting, CART enables hospitals to comprehensively evaluate and manage quality improvement efforts. Whether a hospital is seeking Medicare certification and/or Joint Commission accreditation, or undertaking its own quality improvement initiatives, CART is ideal for the data collection and analyses that are essential to the success of all quality improvement efforts. The application is available at no charge to hospitals or other organizations seeking to improve the quality of care in the following clinical areas:

- · Acute Myocardial Infarction (AMI)
- · Emergency Department (ED)
- . Heart Failure (HF)
- Immunization (IMM)
- · Pneumonia (PN)
- · Stroke (STK)
- · Venous Thromboembolism (VTE)

CART is available for use on a stand-alone, Windows-based computer, in a computer network or in environments without computing resources (paper tool). For more CART details, see the <u>Executive Summary</u>, PDF.

Select the appropriate discharge period



CART)

CART Downloads & Info

Abstraction Resources

CART Module Designer

Uniform Billing File

CART Training

Layout

Administrative and demographic data from a Uniform Billing (UB-92) system can be imported into CART and combined with the clinical data abstracted from medical records, then exported as XML files for submission to the QIO Clinical Warehouse.

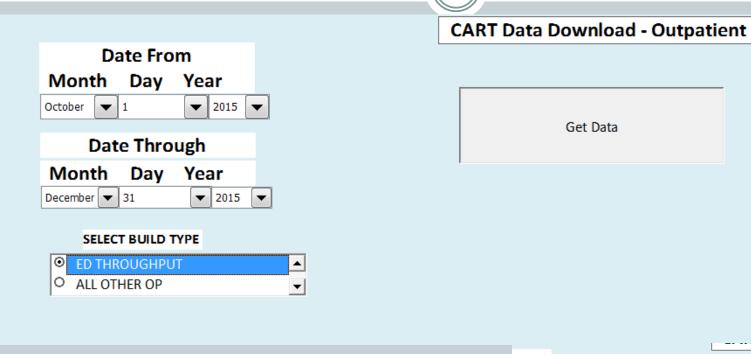
File layout specifications for data being imported from a UB-92 system into CART are provided, by discharge period, below.

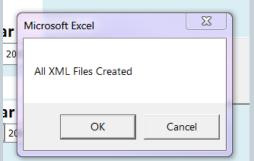
Discharge Period	UB File Layout
07/01/16 - 12/31/16	Version 4.18
10/01/15 - 06/30/16	Version 4.17
01/01/15 - 09/30/15	<u>Version 4.16</u> , PDF-178 KB
01/01/14 - 09/30/14	<u>Version 4.15</u> , PDF-178 KB
07/01/13 - 12/31/13	Version 4.14, PDF-234 KB
01/01/13 - 06/30/13	Version 4.13, PDF-181 KB
07/01/12 - 12/31/12	<u>Version 4.12</u> , PDF-237 KB
01/01/12 - 06/30/12	<u>Version 4.11</u> , PDF-57 KB
04/01/11 - 12/31/11	Version 4.10, PDF-57 KB
40/04/40 00/04/44	

Step 2:

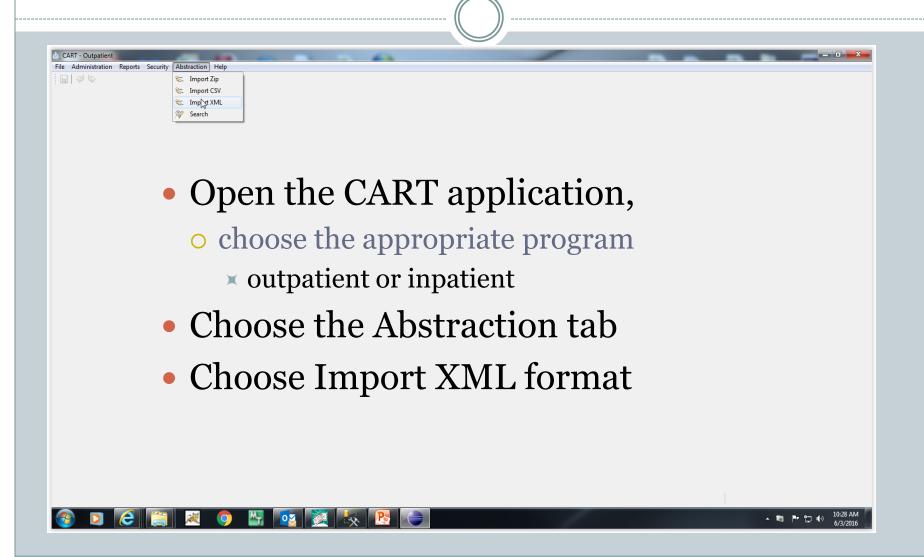
- QIC
 - Opens location of Excel file
 - Runs report
 - o Opens CART
 - o Imports data

YOUR SYSTEM: E.H.R. to XML Format

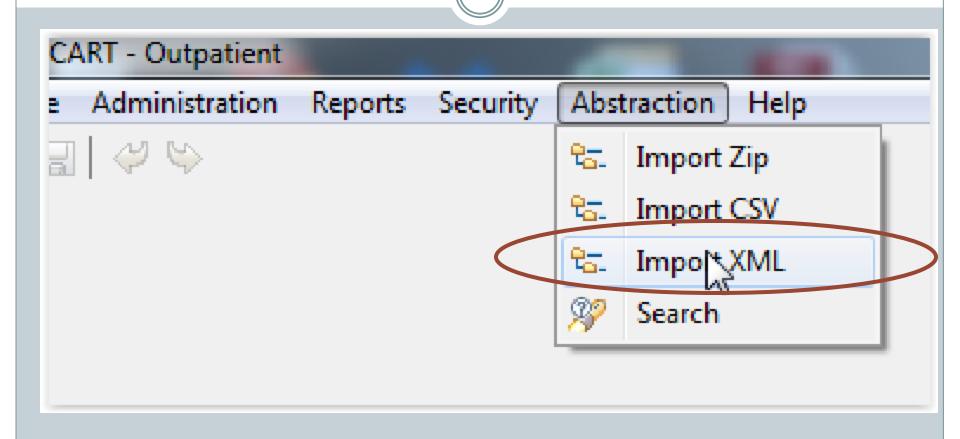




CART: Import XML

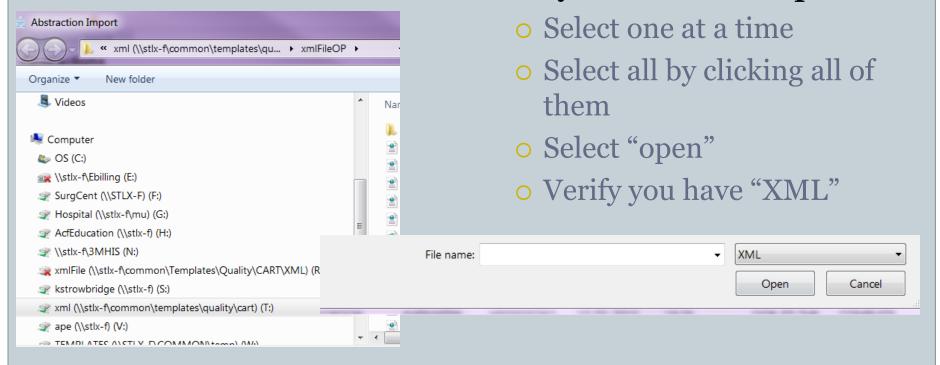


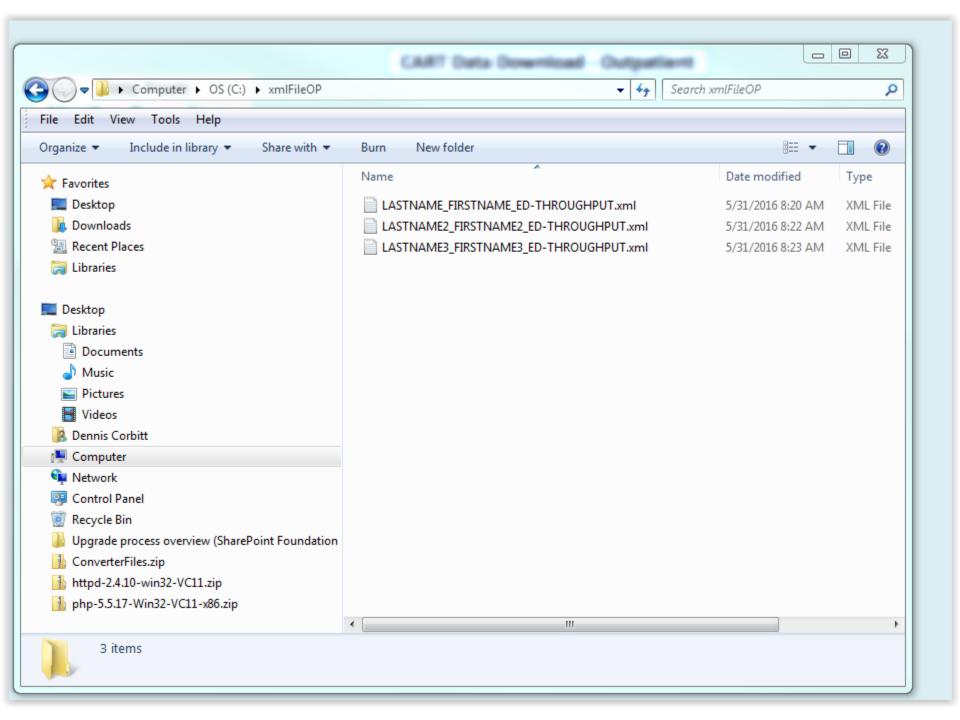
CART: Import XML



CART: Abstract import

- CART will automatically launch the browse feature for you to select the file from your computer system.
- You must select all of the cases you want to import.





CART Import

CART will ask:

- o "overwrite existing"
- o "enable all questions"
- Then it will report:
 - × Successful
 - × Overlaid

Successful Imports: 768 Overlaid Imports: 28 Skipped Imports: 0 Failed Imports: 2

Total Import time: 111164

- Skipped (already found it in the system)
- × Failed (appropriate topic must be selected ex. AMI/CP/Stroke/ED, etc) or it fails
- × Import time

Check you log by clicking "view log"

CART: Import Log Success!

Submission	Type	Error	Message
			Starting Import with 770 record(s)
Mickey Mouse_ED-	1		
THROUGHPUT.xml	INFO		Import Successful with following status:PENDING
Mickey Mouse_ED-			
THROUGHPUT.xml	INFO		Import Successful with following status:COMPLETE
Mickey Mouse_ED-			
THROUGHPUT.xml	INFO		Import Successful with following status:PENDING
Mickey Mouse ED-			
THROUGHPUT.xml	INFO		Import Successful with following status:COMPLETE

CART: Import Log "Error Codes" Edit Errors

Mickey Mouse_ED-		_	
THROUGHPUT.xml	HARD	04950052	ED Departure Date and ED Departure Time cannot be before Encounter Date plus Arrival Time.
			Provider Contact Date and Provider Contact Time cannot be before Encounter Date plus Arrival Time.
		04930048	Provider Contact Date and Provider Contact Time cannot be before Encounter Date plus Arrival Time.
		04930052	ED Departure Date and ED Departure Time cannot be before Encounter Date plus Arrival Time.
			Encounter Date plus ARRVLTIME Cannot be after ED Departure Date and ED Departure Time.
	HARD	04950021	Encounter Date plus Arrival Time cannot be after Provider Contact Date and Provider Contact Time.
	INFO		Import Successful with following status:EDIT_ERRORS
Mickey Mouse_ED-			
THROUGHPUT.xml	INFO		Import Successful with following status: COMPLETE
Mickey Mouse_ED-			
THROUGHPUT.xml	INFO		Import Successful with following status:COMPLETE

CART: Import Log Complete & Pending

Mickey Mouse_ED-		
THROUGHPUT.xml	INFO	Import Successful with following status: PENDING
Mickey Mouse_ED-		
THROUGHPUT.xml	INFO /	Import Successful with following status: COMPLETE
Mickey Mouse_ED-		
THROUGHPUT.xml	INFO	Import Successful with following status:PENDING
Mickey Mouse_ED-		
THROUGHPUT.xml	INFO	Import Successful with following status: COMPLETE
OTECLE MADY ED		

CART: Abstraction Search and Edit

Click Abstraction Search

 Then click the down arrow two times to get all of your abstracts to pull up for viewing



CART: Abstraction Search

- Abstractions will indicate the:
 - Status of complete
 - ▼ These are ready for export
 - Export date & time (after exported)

Encounter Date	Arrival Time	Measure Set	Abstraction Status	Provider	Abstractor ID	Abstraction D	Export Date
10-03-2015	10:33	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-27-2015	12:40	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
10-11-2015	14:12	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-29-2015	10:22	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
11-29-2015	12:40	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
11-29-2015	12:40	OQR-PAIN M	COMPLETE	St. Luke Com	Kitty	05-06-2016	05-20-2016
12-22-2015	01:27	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-21-2015	09:05	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-29-2015	18:53	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
10-01-2015	06:50	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
11-15-2015	07:48	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-28-2015	08:24	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
11-08-2015	16:39	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	

CART: Abstraction Search

- Those with "errors"
- These cases require editing.
 - Click on the case, open abstract and edit information appropriately, "Save"

Encounter Date	Arrival Time	Measure Set	▲ Abstraction S	Provider	Abstractor ID	Abstraction D	Export Date
12-19-2015	05:17	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-21-2015	17:40	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
12-21-2015	11:25	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
10-20-2015	13:03	OQR-ED THR	COMPLETE	St. Luke Com	kstrowbridge	05-20-2016	
10-26-2015	18:21	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
10-14-2015	22:55	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
11-24-2015	09:21	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
10-21-2015	18:12	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
12-01-2015	08:12	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
10-04-2015	23:52	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
11-13-2015	19:03	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
11-10-2015	00:47	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
10-12-2015	23:58	OQR-ED THR	EDIT_ERRORS	St. Luke Com	kstrowbridge	05-20-2016	
11-12-2015	18:13	OQR-ED THR	PENDING	St. Luke Com	kstrowbridge	05-20-2016	
11-05-2015	06:26	OQR-AMI	PENDING	St. Luke Com	kstrowbridge	05-20-2016	
12-11-2015	17:10	OQR-PAIN M	PENDING	St. Luke Com	kstrowbridge	05-20-2016	

Export to QualityNet

- Select cases in Abstraction
- Select export (always export in zip file)
- Select location in system
- CART automatically launches to QualityNet
- Login
- Import following normal process
- TIP: ALWAYS EXPORT IN ZIP FILE LAYOUT
 - Improves import to QualityNet time
 - Otherwise you must select each XML file individually

Tips

- Early on: report build phase
 - Put IT/Program writer on one side of you with their reports
 - HIT specialist on the other with their mnemonics
 - QIC with EMR documentation sources & CART tool/paper abstract between
 - ▼ Gives you the source documentation mnemonics for coding report
- Know your data sources Or you will be learning them!!!
 - Results in error codes if not correct
 - Can result in incorrect data importing successfully and YOU WON"T KNOW!!!!
- Validate the data & the cases
 - Insurance numbers are not all in approved format
 - ▼ Celebrate easy win....not required data! Delete and move to complete!
 - Can be part of the error codes
 - Example: our observation cases are pulling in time for admission to the floor as the earliest admission time and discharge time as the departure time

Let's see it!

Shared desktop
Demonstration using test data

Questions about what you just saw?

Contacts:

Dennis Corbitt 528-5384

Dcorbitt@stlukeheathcare.org

Katrina Strowbridge 528-5201

Kstrowbridge@stlukehealthcare.org

Thank you for making data meaningful!!!

Laura Larson – Crissmore for sharing your slide!

Shanelle Van Dyke & Kirsten Kammerzell

Mountain Pacific Quality HealthFor planting the seeds!!!

Steve Todd, Dennis Corbitt, Joe Sampsel & Jim Schoenen

- St. Luke Community Healthcare
- Having the vision!!! Making it happen!!!

Interested in quality reporting using your EHR?

- Contact:
 - Mary Erickson: (406) 521 0488
 - <u>merickson@mpqhf.org</u>

Or visit our website at www.gotohts.org!

Thank you for participating today!